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(71) Applicant *(for all designated States except US)*: AMERSHAM PHARMACIA BIOTECH AB [SE/SE]; Björkgatan 30, S–751 84 Uppsala (SE).

(72) Inventor; and
(75) Inventor/Applicant *(for US only)*: STJERNSTRÖM, Mårten [SE/SE]; Styrmansgatan 23, S–114 54 Stockholm (SE).

(74) Agents: ROLLINS, Anthony, J. et al.; Nycomed Amersham plc, Amersham Labs, White Lion Road, Amersham, Bucks HP7 9LL (GB).

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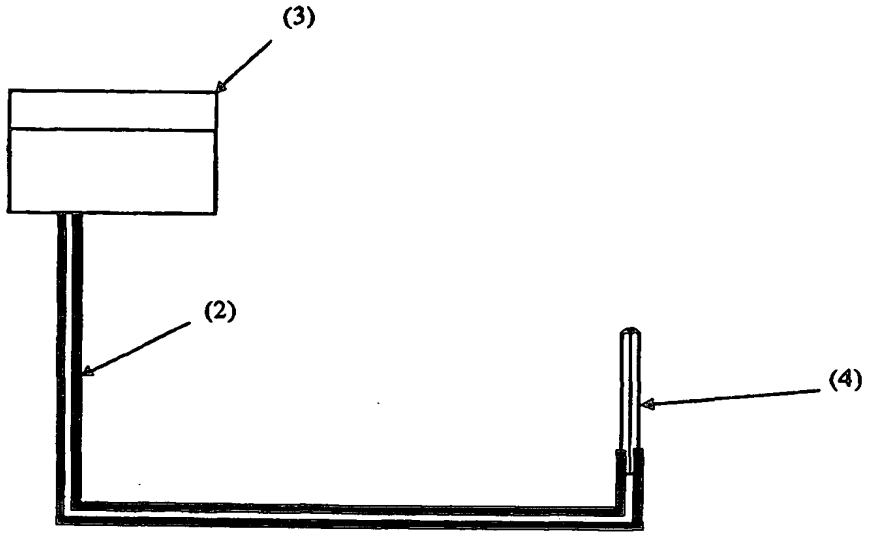
(54) Title: LIQUID MICROVOLUME HANDLING SYSTEM



(57) Abstract

The present invention relates to a microfluidic device comprising a microchannel (2, 4) providing for solvent contact between an open microarea (MA) carrying a microvolume (1) of a solvent and a reservoir (3) for the solvent, said reservoir (3) and said microchannel (2, 4) being adapted so that solvent evaporated from said microarea (MA) is continuously replaced by solvent from the reservoir (3) through said microchannel (2, 4). It further relates to method for replacing solvents evaporating from a microvolume (1) of solvent placed in an open microarea (MA) of a microfluidic device, wherein replacement is continuously taking place via a microchannel (2, 4) that transports solvent to the microarea (MA) from a solvent reservoir (vessel) (3). The device and method are suitable for preventing the desiccation of samples.

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LIQUID MICROVOLUME HANDLING SYSTEM

TECHNICAL FIELD

The present invention relates to microfluidic devices comprising microchannels, and to methods for replacing solvent amounts that evaporate from open microareas carrying microvolumes of the solvent. The resent invention also relates to the use of the method for replacing solvent in a method for preventing the desiccation of samples. The microvolume of solvent may be in the form of a droplet (microdrop).

BACKGROUND ART

Microvolume handling systems have attracted a considerable interest in biochemical analysis, combinatorial chemistry and high throughput screening (HTS) applications. The miniaturised format is compatible in size with many interesting issues of bioanalytical work, such as single cell analysis, when material is available only in extremely limited amounts. Furthermore, by decreasing the volume, an enhanced efficiency in terms of a higher rate of mixing and/or chemical reaction can be expected in the sample container, since the effect of diffusion and thermal convection is more pronounced on a smaller scale.

In HTS applications, goals are currently set on screening more than 10^5 compounds in a single assay. To manage such a tremendous number of samples with reasonable space, cost and time requirements, the miniaturised microtitre plate format has been developed. Based on micromachining of different materials, e.g., by anisotropically etching single crystalline silicon wafers, well-defined picolitre to nanolitre vials are readily fabricated (Jansson et al. (1992) J. Chromatography 626, 310-314; Beyer Hietpas et al. (1995) J. Liq. Chromatography 18, 3557-3576). Biomolecules such as DNA and proteins have been assayed in the microvial format utilising capillary electrophoresis (Jansson et al. *supra*; Beyer Hietpas et al., *supra*), bioluminescence (Crofcheck et al. (1997) Anal. Chem. 69, 4768-4772), electrochemical analysis (Clark et al. (1997) Anal. Chem. 69, 259-263; Clark et al. (1998) Anal. Chem. 70, 1119-1125) and mass spectrometry (Jespersen et al. (1994) J. Rapid Comm. in Mass Spectrom. 8, 581-584).

However, the rate of solvent evaporation is particularly pronounced for microvolumes, for instance small droplets, since the surface-to-volume ratio increases when the drop diameter decreases. The most common way for avoiding desiccation is by covering the containers with a material non-permeable for the underlying solvent. However, covers, either liquid or solid, inherently have the potential to introduce interfering compounds, or to alter equilibriums, that can seriously damage sensitive chemical systems. Furthermore, practical problems may arise from small droplets sticking to a solid cover.

An alternative is to diminish the solvent loss by controlling the environment in humidified chambers and by dispensing compensating solvent into the microvials *via* fine capillaries from above (Roeraade et al. (1996) Analytical Methods and Instrumentation. Special issue μTAS'96 (1996), pp. 34-38). However, this technique can be ineffective over prolonged time periods and is subject to many practical problems associated with the restricted accessibility to the vials through the environmental chamber. Furthermore, since the solvent compensating capillaries block the space in close proximity to the microvials, accessing or detecting the material becomes increasingly more complex as the assay becomes larger.

There is a need for microfluidic devices including a system for handling small volumetric amounts of liquid which avoids the above discussed drawbacks and allows for free access to the contained material, thus facilitating chemical manipulation of the liquid or the gaseous headspace environment and for monitoring of reaction products.

A device having the features of claim 1 and a method having the features of claim 6 fulfill this need.

BRIEF DESCRIPTION OF THE DRAWINGS

Examples of embodiments of the present invention are illustrated in the accompanying figures, where:

Figure 1 is a schematic view of a first embodiment of a system in accordance with the present invention for containing small amounts of material in a droplet on top of the orifice of a microchannel;

Figure 2 is an enlarged view of the top of the capillary in Figure 1 illustrating a droplet;

Figure 3 illustrates the three different possible shapes of the liquid-gaseous interface;

Figure 4 illustrates a top of an embodiment of a microchannel in accordance with the present invention with a droplet and sample components immobilised on the microchannel rim;

Figure 5 illustrates a circular array of fabricated holes containing microdrops in accordance with other embodiment of the present invention;

Figure 6 is a sectional view of Figure 4 illustrating a solvent container in accordance with an embodiment of the present invention;

Figure 7 is a schematic view of a rectangular array in accordance with the present invention;

Figure 8 is a sectional view of the array of Figure 7.

DISCLOSURE OF THE INVENTION

The present invention provides a method for replacing solvent that is evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device. The method has the characterising feature that replacement takes place continuously via a microchannel (2) that transports liquid to the microarea (MA) from a liquid reservoir (vessel). The method is particularly useful in the context of running reactions in the solvent present on the microarea (MA) in order to assay an analyte, for the synthesis of chemical compounds etc. The reactants used, including an

analyte and/or various reagents, may be soluble in the microvolume or immobilised to a solid support in contact with the microvolume. The microarea (MA) may be the orifice region of the microchannel and the microvolume in the form of a microdrop (1), as shown in Figure 2. By continuously replacing the evaporated solvent via a conduit (2) with solvent from a communicating vessel (3) the reactants present in the microvolume are prevented from being desiccated. The sample is focused in the microvolume as long as the evaporation rate of the solvent is higher than the sample diffusion rate. It should be noted that the solvent compensating principle is generally applicable to minute volumes, thus the liquid-gaseous interface may appear in any of the different shapes illustrated in Figures 3 a)-c). In the case of droplets shown figure 3 a), they can be formed by applying an overpressure to the solvent supplying tubing. This causes the droplet size to be determined by the diameter of the capillary orifice, the interfacial tension, the wettability of the capillary material and the magnitude of the applied overpressure (which needs to be in equilibrium with the interfacial pressure difference across the curved surface of the droplet). The microarea (MA) can be located either, as illustrated in Figure 1, on top of a single capillary (4), or as shown in Figures 5 – 8, as an array of microareas carrying liquid in the form of drops (6) or liquid in the form of other physical microappearances (9) (e.g. surfaces of the type shown in figures 3 b)-c)) formed on top of an array of fabricated holes (7) each supplied from a common solvent container (8). In the case of droplets, the overpressure needed can be created by any means of pressure generation, e.g. from a hydrostatic head, a micropump or a pressurised container.

The open geometry in this invention, with microareas carrying analyte- and/or reagent-containing solvent in direct contact with the surrounding gaseous phase, is favourable with respect to easy accessibility. For example, wet-chemical reactions can easily be performed with sample components contained in the surface layers, using reagents dispensed from external means directly to the microvolume of liquid placed in the microarea, for instance from ink-jet dispensers or fine pipettes. Furthermore, detection of analytes or reaction products can readily be made by using optical detectors, such as CCD-cameras. Moreover, the equilibrium between the solvent on the microarea and the surrounding gaseous phase could be

exploited for passive sampling of air-born constituents over prolonged time periods, thus enabling subsequent environmental analysis.

The solvents contemplated are often aqueous, i.e. consists of water, possibly mixed with one or more water-miscible liquids, such as acetone, methanol, ethanol and isopropanol. This does not exclude the use of other solvents in the invention.

A second aspect of the invention relates to a microfluidic device comprising a microchannel providing for liquid contact between an open microarea carrying a microvolume of a solvent and a reservoir for the solvent, said reservoir and said microchannel being adapted so that solvent evaporated from said microarea is continuously replaced by solvent from the reservoir through said microchannel. When in use the microvolume of solvent typically contains an analyte and/or one or more reagents for assaying the analyte either directly or indirectly, for running synthesis of a compound etc. By the term "indirectly" is contemplated that a feature or an amount of a reaction product related to the analyte is assayed.

In order to avoid the risk of desiccation of the microareas over prolonged time periods, the supplying solvent vessel should contain a solvent volume one, two three or more orders of magnitude larger than the sum of all microvolumes communicating with the reservoir.

The term "microvolume" means a volume that typically is at most around 10 µl, such as ≤ 1 µl . The lower end of the range extends down to the infinitesimal volume that is present in the gaseous-liquid interface of the microvolume of the solvent. Typically the microvolume is ≥ 10^{-15} l (femtolitre). It will be understood, however, that the described principles may be applicable also to microvolumes being larger than 10 µl . By "microfluidic device" is meant a device that can handle microvolumes, for example a volume that is less than 1 µl, preferably between 1 and 10 nl, of reagents that may be introduced into the device.

A microarea may have different forms that vary from being an essentially flat form via cup-formed areas to being walls of open chambers, the important matter being that the area is able to carry the microvolume of liquid contemplated.

Microchannels typically have the ability to act as capillaries. Normally their size in the dimension (i.e. height, width or length) in which they are smallest is less than 2000 μm, such as ≤ 500 μm. Typically this dimension is ≥ 1 μm. A microchannel may be in form of a tube that may have a circular, a rectangular etc cross sectional area. They may also be "sheet"-like covering larger areas.

The reagents included in or in contact with the microvolume of solvent vary depending on the reaction to be run. The reagents include catalysts, for instance, an enzyme, compounds needed for the synthesis of nucleic acids, affinity reactants, etc. The term also includes biological systems, such as enzymatic systems and whole cells. Affinity reactants typically form non-covalent complexes and may be illustrated by biotin, streptavidin, protein A, antibodies, lectins, hormone receptors, nucleic acids, peptides and polypeptides. Typical assays are immunoassays, sequenceing of nucleic acids and of peptides, hybridisation assays, detection of mutations, cell assays, etc.

In one embodiment of the invention, one or more of the reagents used are immobilised in the microarea (MA). This alternative configuration is illustrated in Figure 4, where reagents (11) are immobilised on the rim (5) of a microchannel, allowing washing steps to be performed by overflowing the microchannel. Immobilisation may be achieved via covalent bonds, affinity bonds, physical adsorption etc. Typical affinity bonds are those formed by having strepavidin or a high affinity antibody bound to a solid support in the microarea (MA) and then binding a desired reagent conjugated with biotin or with the hapten against which the antibody is specific to the solid support bound strepavidin/high affinity antibody.

The method for replacing solvents can be used in a method to prevent samples from becoming desiccated. One example of a method for achieving this comprises the following steps:

providing a microarea for receiving a sample;

connecting the microarea (preferably via a microchannel) to a reservoir of solvent;

applying the sample to th microarea;

allowing solvent to evaporate from said microarea; and
continuously replacing said evaporated solvent with solvent from said reservoir.

In this example, it is preferable that the diffusion rate of the sample in the solvent is less than the flow rate of solvent from the reservoir so that the sample does not diffuse away from the microarea.

A second example of a method for preventing samples becoming desiccated comprises the additional step of:
anchoring the sample to the microarea.

In this example, the flow rate of solvent from the reservoir may be less than the diffusion rate of the sample in the solvent once the sample is firmly attached to the microarea and is unable to diffuse away.

The sample can be applied to the microarea by dispensing from above, for example by dropping into the microarea a drop of solvent containing the sample, or from below, for example by injecting the sample into the microchannel between the reservoir and the microarea and allowing the flow of solvent to bring the sample to the micro area.

The microfluidic device according to the invention can suitably be fabricated in the form of a circular (Figure 5 and 6) or rectangular array format (Figure 7 and 8), although any other shape is also conceivable.

A circular format means that there are one or more microareas (chambers) that are placed radially and in different directions from a centre. The distance from the centre to individual microareas (chambers) may be equal or different. The reservoir is preferably in the centre. The microchannels may be radially directed from the centre and communicate with one or more microareas. The microchannels may also be in the form of a common, flat-like microchannel or reservoir beneath the microareas (chambers) and communicating upwardly via traditional microchannels.

In rectangular formats there are microareas (chambers) that form a rectangular pattern. The microchannel arrangement may be in analogy with the circular format.

Microfluidic devices in the form of rotatable discs are known in the art. WO 97/21090 discloses a microanalytical / microsynthetic system for biological and chemical analysis, comprising a rotatable microplatform, e.g. a disc, having inlet ports, microchannels, detection chambers (microareas) and outlet ports through which fluid may flow. Preferably, a circular array comprises a disc and a plurality of microchannels (see Figure 5 and 6), each microchannel being radially dispersed about the centre of the said rotatable disc. The rotatable disc is adapted for rotation about its axis. Such adaptation may take the form of a hole at the axis of one or both substrates which is capable of engaging a drive shaft. Other methods of rotating the disc include clamping the disc and contacting the perimeter with a moving surface, for example moving wheels, or placing the disc on a turntable and spinning the turntable. Preferably the disc comprises a solvent inlet port located towards the centre of the disc and connected to radially dispersed microchannels, each microchannel having a sample reservoir located at the microchannel orifice that is located outward from the centre of the disc.

The configuration of the microchannels in the rectangular or circular format may be chosen to allow for application of a chemical compound, or a suspension of cells, to the sample reservoir filled with fluid medium.

The microfluidic device may also comprise a separate microchannel system for transporting one or more of the reactants needed to the microareas.

Suitably the circular or rectangular array format is a one- or two-piece construction assembled together to provide a closed structure with openings at defined positions to allow loading of the device with liquids and removal of waste liquids. In the simplest form, see, for example, figures 6-7, the disc or wafer is produced as two complementary parts (12), (13), one or each carrying channel structures which, when affixed together, form a series of interconnected structures within the body of a solid disc or wafer. The microchannels may be formed by micro-machining methods in which the channels and chambers are micro-machined into the surface

of a disc or wafer, and a cover, for example a plastic film, is adhered to the surface so as to enclose the channels and chambers.

Suitable glass or polymeric materials can be additionally selectively modified by chemical or physical means to alter the surface properties to confer a desired property, e.g. compatibility with cell growth, cell attachment and the attachment of biomolecules by covalent or non-covalent means.

Based on knowledge at the priority date, the variant given in figures 1 and 2 corresponds to the best mode in October 1998.

CLAIMS

1. A microfluidic device comprising a microchannel (2) (4), providing for liquid contact between an open microarea (MA) or chamber carrying a microvolume (1) of a solvent and a reservoir (3); (8) for the solvent, said reservoir (3); (8) and said microchannel (2), (4) being adapted so that solvent evaporated from said microarea (MA) is continuously replaced by solvent from the reservoir (3); (8) through said microchannel (2), (4).

2. The microfluidic device according to claim 1 wherein
   a) said reservoir (3); (8) is positioned so as to create an overpressure in the solvent which is in equilibrium with the interfacial pressure difference across the curved surface of the droplet, or
   b) said reservoir (3); (8) is connected to pump means that either facilitate replacement of solvent by pumping solvent or pressurising the reservoir (3); (8).

3. The microfluidic device according to anyone of claims 1-2 comprising a plurality of microchannels (3); (8) and open chambers forming an array in the circular or rectangular format.

4. The microfluidic device according to anyone of claims 1-3, wherein the microvolume contains one or more reactants that are soluble in the solvent or bound to a solid support in contact with the microvolume.

5. The microfluidic device according to claim 4 wherein at least one of said one or more reactants is an affinity reactant, for instance selected from nucleic acids, peptides, proteins.

6. A method for replacing solvents evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device, characterised in that that replacement is continuously taking place via a microchannel (2), (4) that transports liquid to the microarea (MA) from a liquid reservoir (vessel) (3); (8).

7. The method of claim 6, characterised in that the microarea (MA), microchannel (2), (4) and reservoir are parts of the microfluid device defined in claims 1-5.

8. Method for replacing solvents for preventing samples from becoming desiccated characterised in that it comprises the following steps:
providing a microarea (MA) for receiving a sample;
connecting the microarea (MA) to a reservoir (3); (8) of solvent;
applying the sample to the microarea (MA);
allowing solvent to evaporate from said microarea (MA); and
continuously replacing said evaporated solvent with solvent from said reservoir (3); (8).

9. Method in accordance with claim 8 characterised in that it comprises the additional step of:
anchoring the sample to the microarea (MA).

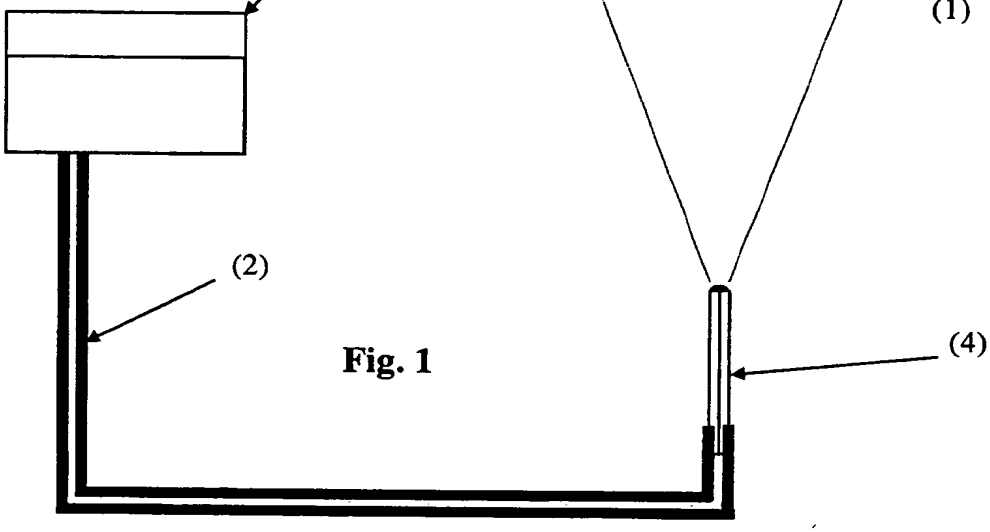


Fig. 1

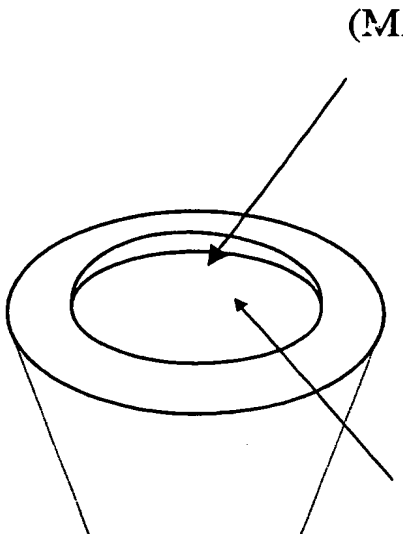
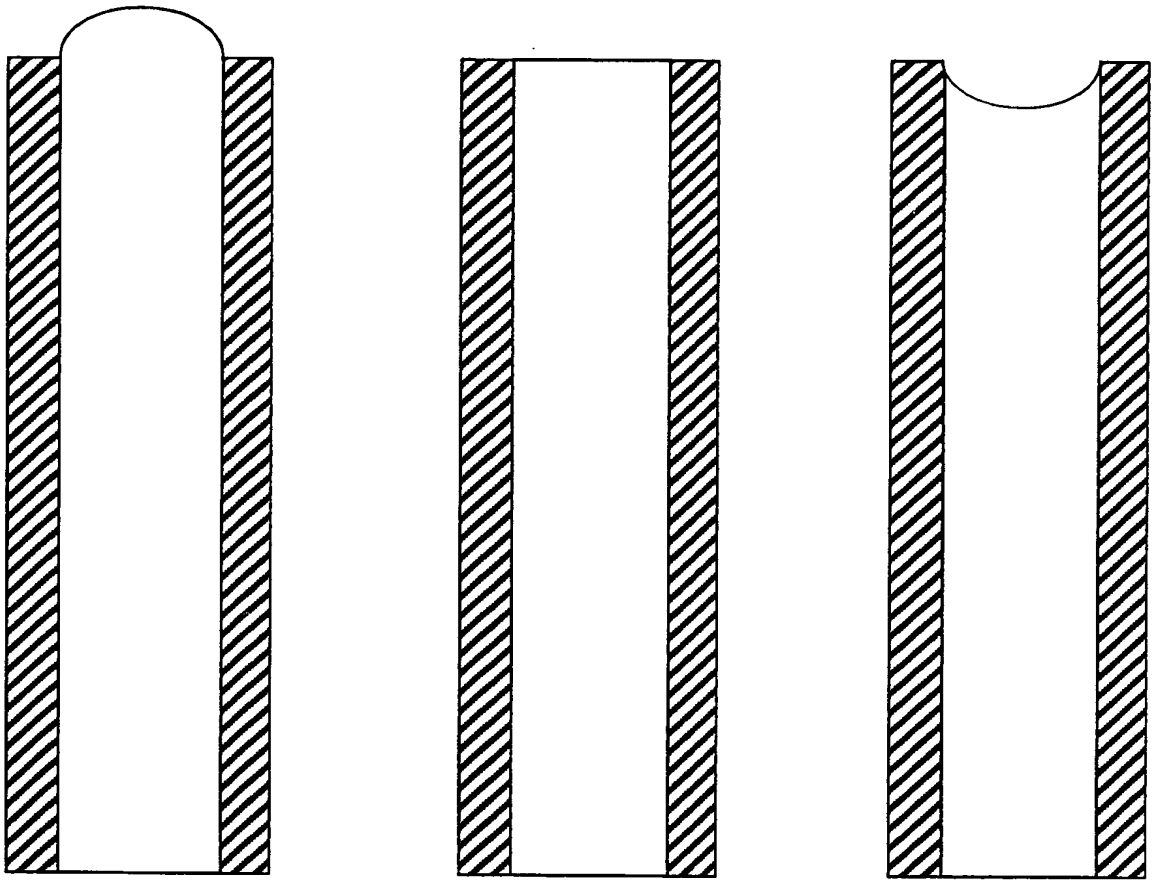


Fig. 2

Fig. 3



a) b) c)

Fig. 4

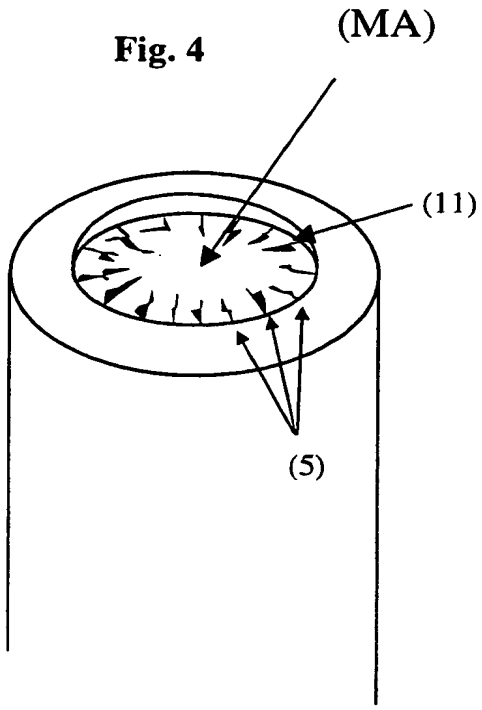


Fig. 5

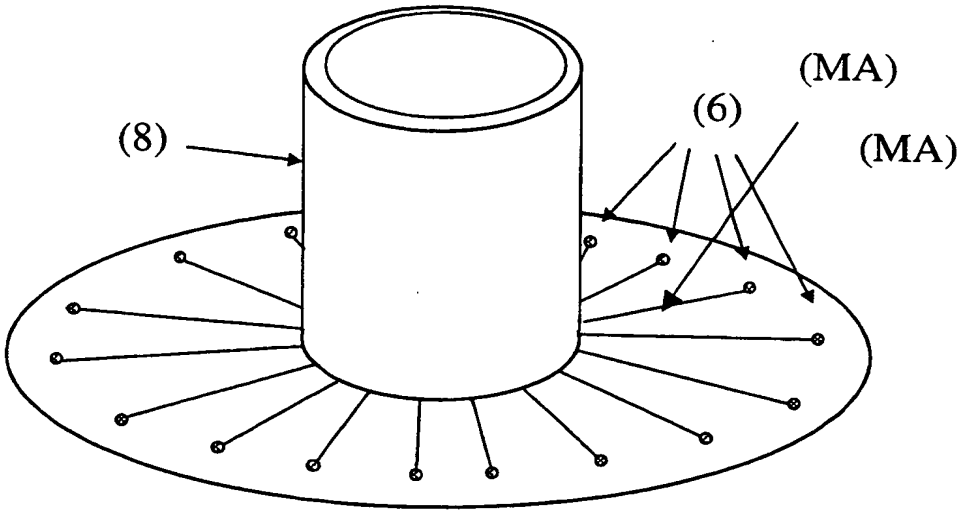


Fig. 6

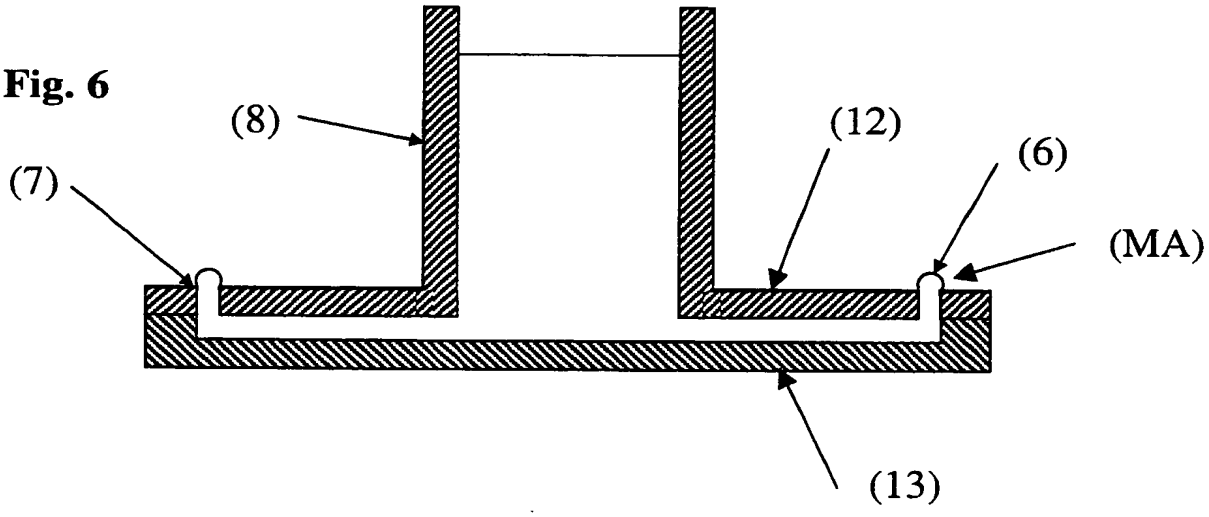


Fig. 7

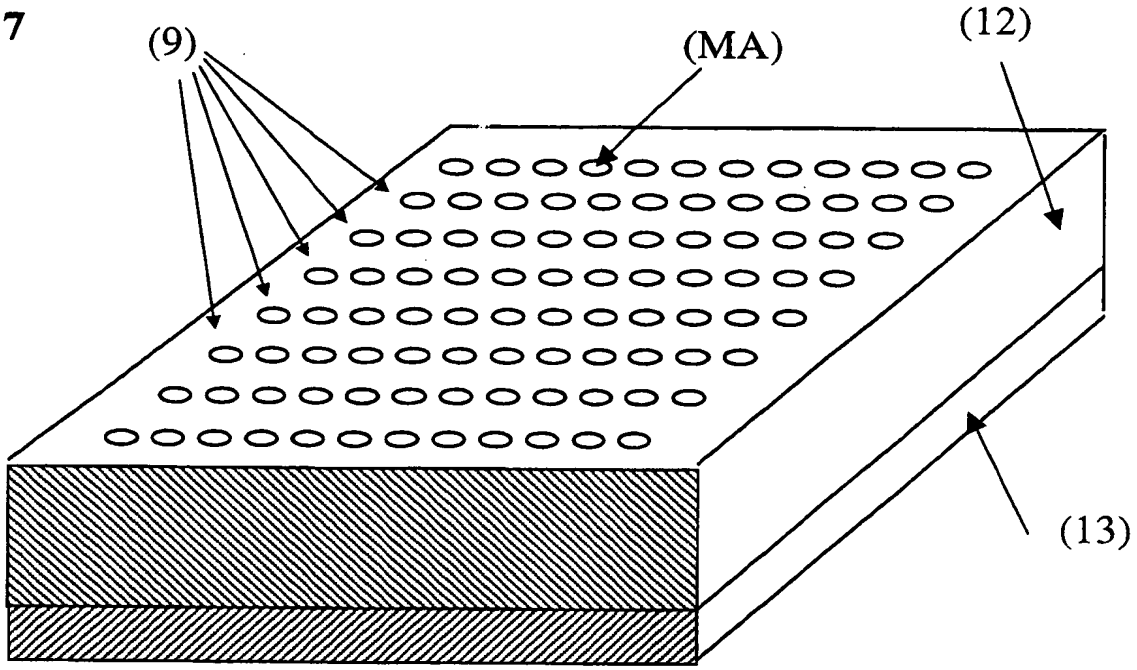
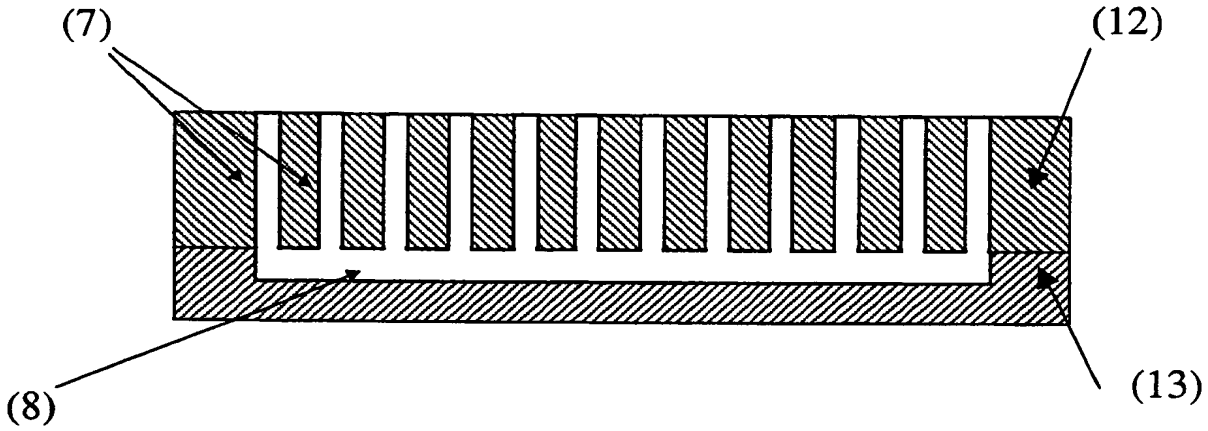


Fig. 8



INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 99/01958

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: B01L 3/00
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B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0305210 A2 (BIOTRACK, INC.), 1 March 1989 (01.03.89), figure 13 --	1-9
A	US 5783148 A (HUGH V. COTTINGHAM ET AL), 21 July 1998 (21.07.98), abstract --	1-9
A	WO 9855852 A1 (CALIPER TECHNOLOGIES CORP.), 10 December 1998 (10.12.98), figure 3 --	1-9
A	EP 0430248 A2 (MOCHIDA PHARMACEUTICAL CO., LTD.), 5 June 1991 (05.06.91), abstract --	1-9

☐ Further documents are listed in the continuation of Box C. ☒ See patent family annex.

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Date of the actual completion of the international search	Date of mailing of the international search report
24 January 2000	17-02-2000
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86	Authorized officer Mats Raidla/ELY Telephone No. +46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 1992)

INTERNATIONAL SEARCH REPORT

Information on patent family members

02/12/99

International application No.
PCT/SE 99/01958

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REPLACED BY ART 34 AMDT

Figure 1 is a schematic view of a first embodiment of a system in accordance with the present invention for containing small amounts of material in a droplet on top of the orifice of a microchannel;

Figure 2 is an enlarged view of the top of the capillary in Figure 1 illustrating a droplet;

Figure 3 illustrates the three different possible shapes of the liquid-gaseous interface;

Figure 4 illustrates a top of an embodiment of a microchannel in accordance with the present invention with a droplet and sample components immobilised on the microchannel rim;

Figure 5 illustrates a circular array of fabricated holes containing microdrops in accordance with other embodiment of the present invention;

Figure 6 is a sectional view of Figure 4 illustrating a solvent container in accordance with an embodiment of the present invention;

Figure 7 is a schematic view of a rectangular array in accordance with the present invention;

Figure 8 is a sectional view of the array of Figure 7.

DISCLOSURE OF THE INVENTION

The present invention provides a method for replacing solvent that is evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device. The method has the characterising feature that replacement takes place continuously via a microchannel (2) that transports liquid to the microarea (MA) from a liquid reservoir (vessel). The method is particularly useful in the context of running reactions in the solvent present on the microarea (MA) in order to assay an analyte, for the synthesis of chemical compounds etc. The reactants used, including an

CLAIMS

1. A microfluidic device comprising a microchannel (2) (4), providing for liquid contact between an open microarea (MA) or chamber carrying a microvolume (1) of a solvent and a reservoir (3); (8) for the solvent, said reservoir (3); (8) and said microchannel (2), (4) being adapted so that solvent evaporated from said microarea (MA) is continuously replaced by solvent from the reservoir (3); (8) through said microchannel (2), (4).

2. The microfluidic device according to claim 1 wherein
   a) said reservoir (3); (8) is positioned so as to create an overpressure in the solvent which is in equilibrium with the interfacial pressure difference across the curved surface of the droplet, or
   b) said reservoir (3); (8) is connected to pump means that either facilitate replacement of solvent by pumping solvent or pressurising the reservoir (3); (8).

3. The microfluidic device according to anyone of claims 1-2 comprising a plurality of microchannels (3); (8) and open chambers forming an array in the circular or rectangular format.

4. The microfluidic device according to anyone of claims 1-3, wherein the microvolume contains one or more reactants that are soluble in the solvent or bound to a solid support in contact with the microvolume.

5. The microfluidic device according to claim 4 wherein at least one of said one or more reactants is an affinity reactant, for instance selected from nucleic acids, peptides, proteins.

6. A method for replacing solvents evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device, characterised in that that replacement is continuously taking place via a microchannel (2), (4) that transports liquid to the microarea (MA) from a liquid reservoir (vessel) (3); (8).

7. The method of claim 6, characterised in that the microarea (MA), microchannel (2), (4) and reservoir are parts of the microfluid device defined in claims 1-5.

8. Method for replacing solvents for preventing samples from becoming desiccated characterised in that it comprises the following steps:
providing a microarea (MA) for receiving a sample;
connecting the microarea (MA) to a reservoir (3); (8) of solvent;
applying the sample to the microarea (MA);
allowing solvent to evaporate from said microarea (MA); and
continuously replacing said evaporated solvent with solvent from said reservoir (3); (8).

9. Method in accordance with claim 8 characterised in that it comprises the additional step of:
anchoring the sample to the microarea (MA).

TENT COOPERATION TRE Y

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D.C.20231
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 27 July 2000 (27.07.00)	
International application No. PCT/SE99/01958	**Applicant's or agent's file reference** PU 9821-PCT
International filing date (day/month/year) 29 October 1999 (29.10.99)	**Priority date** (day/month/year) 30 October 1998 (30.10.98)
Applicant STJERNSTRÖM, Mårten	

1. The designated Office is hereby notified of its election made:

[X] in the demand filed with the International Preliminary Examining Authority on:

22 May 2000 (22.05.00)

[ ] in a notice effecting later election filed with the International Bureau on:

2. The election [X] was

[ ] was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO **34, chemin des Colombettes** **1211 Geneva 20, Switzerland**	Authorized officer Manu Berrod
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

REC'D 30 JAN 2001
WIPO PCT

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

3

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
PU 9821-PCT		
International application No.	International filing date *(day/month/year)*	Priority date *(day/month/year)*
PCT/SE99/01958	29/10/1999	30/10/1998
International Patent Classification (IPC) or national classification and IPC B01L3/00		
Applicant GYROS AB et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

   ☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

   These annexes consist of a total of 3 sheets.

3. This report contains indications relating to the following items:

   - I ☒ Basis of the report
   - II ☐ Priority
   - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
   - IV ☐ Lack of unity of invention
   - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement
   - VI ☐ Certain documents cited
   - VII ☐ Certain defects in the international application
   - VIII ☒ Certain observations on the international application

Date of submission of the demand	Date of completion of this report
22/05/2000	26. 01. 01
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Katsoulas, K Telephone No. +49 89 2399 8613

Form PCT/IPEA/409 (cover sheet) (January 1994)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/SE99/01958

I. Basis of th report

1. This report has been drawn on the basis of *(substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments (Rules 70.16 and 70.17).)*:
Description, pages:

1,2,4-9	as originally filed	
3	with telefax of	06/11/2000

Claims, No.:

1-9	with telefax of	06/11/2000

Drawings, sheets:

1/5-5/5	as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

   These elements were available or furnished to this Authority in the following language: , which is:

   - ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
   - ☐ the language of publication of the international application (under Rule 48.3(b)).
   - ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

   - ☐ contained in the international application in written form.
   - ☐ filed together with the international application in computer readable form.
   - ☐ furnished subsequently to this Authority in written form.
   - ☐ furnished subsequently to this Authority in computer readable form.
   - ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
   - ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

   (Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	6-9
	No:	Claims	1-5
Inventive step (IS)	Yes:	Claims	6-9
	No:	Claims	
Industrial applicability (IA)	Yes:	Claims	1-9
	No:	Claims	

2. Citations and explanations
   see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

D1: EP-A-0305210; D2: US-A-5783148

Ad Section V:

1. D1 discloses a device (cf. figs. 1,2) comprising a microchannel (12) providing for liquid contact between an open microarea or chamber (11) suitable for carrying a microvolume of a liquid and a reservoir (20,30) for the liquid, said reservoir being adapted (i.e. no modifications are required) so that liquid evaporated from said microarea is able to be continuously replaced by liquid from the reservoir through said microchannel (12). It follows that claim 1 lacks novelty in view of D1 (Art. 33(2) PCT). It is also noted that since said microchannel comprises also normal capillaries, an "open microarea" can be any opening of the capillary including its ends and "a reservoir" can even be part of the capillary itself.

2. Positioning the reservoir as to provide an overpressure, according to feature (a) of claim 2 is disclosed in multiple of the above citations, see for example D1 fig. 1. A plurality of microchannels and corresponding open chambers, according to claim 3, is disclosed inter alia by D1 fig. 17A. Thus, claims 2 and 3 are also not novel (Art. 33(2) PCT).

3. Claims 4 and 5 concern applicable reactants, which are not structurally-limiting for the apparatus of claim 1. Thus, no further novel features are defined.

4. The scope of independent method claim 6 cannot be anticipated by the available art. Both D1 (page 3) and D2 (col. 10 l. 30-55) disclose the use of solvents in microfabricated devices as sample diluters. In all cases solvent evaporation has to be minimised (D2 col. 6 l. 36-52). Thus, claims 6 and 7 are allowable under Art. 33(3) PCT. This conclusion applies equally to method claims 8 and 9.

Ad Section VIII:

1. In claim 1 the claimed "microvolume of a solvent" arises only during the use of the apparatus and, as such, it does not constitute a structurally-limiting feature. This applies equally to dependent claims 4 and 5 (Art. 6 PCT).

2. Clam 7 claims the reservoir to be part of the device of claim 1. In claim 1 however it is not clear if said reservoir is part of the claimed device (Art. 6).

Figure 1 is a schematic view of a first embodiment of a system in accordance with the present invention for containing small amounts of material in a droplet on top of the orifice of a microchannel;

Figure 2 is an enlarged view of the top of the capillary in Figure 1 illustrating a droplet;

Figure 3 illustrates the three different possible shapes of the liquid-gaseous interface;

Figure 4 illustrates a top of an embodiment of a microchannel in accordance with the present invention with a droplet and sample components immobilised on the microchannel rim;

Figure 5 illustrates a circular array of fabricated holes containing microdrops in accordance with other embodiment of the present invention;

Figure 6 is a sectional view of Figure 3 illustrating a solvent container in accordance with an embodiment of the present invention;

Figure 7 is a schematic view of a rectangular array in accordance with the present invention;

Figure 8 is a sectional view of the array of Figure 7.

DISCLOSURE OF THE INVENTION

The present invention provides a method for replacing solvent that is evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device. The method has the characterising feature that replacement takes place continuously via a microchannel (2) that transports liquid to the microarea (MA) from a liquid reservoir (vessel). The method is particularly useful in the context of running reactions in the solvent present on the microarea (MA) in order to assay an analyte, for the synthesis of chemical compounds etc. The reactants used, including an

CLAIMS

1. A microfluidic device comprising a microchannel (2, 4), providing for liquid contact between an open microarea (MA) or chamber suitable for carrying a microvolume (1) of a solvent and a reservoir (3; 8) for the solvent, said reservoir (3; 8) and said microchannel (2, 4) being adapted so that solvent evaporated from said microarea (MA) is able to be continuously replaced by solvent from the reservoir (3; 8) through said microchannel (2, 4).

2. The microfluidic device according to claim 1 wherein
   a) said reservoir (3; 8) is positioned so as to create an overpressure in the solvent which is in equilibrium with the interfacial pressure difference across the curved surface of the droplet, or
   b) said reservoir (3; 8) is connected to pump means that either facilitate replacement of solvent by pumping solvent or pressurising the reservoir (3; 8).

3. The microfluidic device according to anyone of claims 1-2 comprising a plurality of microchannels (3; 8) and open chambers forming an array in the circular or rectangular format.

4. The microfluidic device according to anyone of claims 1-3, wherein the microvolume contains one or more reactants that are soluble in the solvent or bound to a solid support in contact with the microvolume.

5. The microfluidic device according to claim 4 wherein at least one of said one or more reactants is an affinity reactant, for instance selected from nucleic acids, peptides, proteins.

6. A method for replacing solvents evaporating from a microvolume of solvent placed in an open microarea (MA) of a microfluidic device, characterised in that that replacement is continuously taking place via a microchannel (2, 4) that transports liquid to the microarea (MA) from a liquid reservoir (vessel) (3; 8).

7. The method of claim 6, characterised in that the microarea (MA), microchannel (2, 4) and reservoir are parts of the microfluid device defined in claims 1-5.

8. Method for replacing solvents for preventing samples from becoming desiccated characterised in that it comprises the following steps:
providing a microarea (MA) for receiving a sample;
connecting the microarea (MA) to a reservoir (3; 8) of solvent by a microchannel (2, 4);
applying the sample to the microarea (MA);
allowing solvent to evaporate from said microarea (MA); and
continuously replacing said evaporated solvent with solvent from said reservoir (3; 8).

9. Method in accordance with claim 8 characterised in that it comprises the additional step of:
anchoring the sample to the microarea (MA).